

## **INVESTOR GRIEVANCE FORM**

# (As per the requirement of Regulation of SEBI (Investment Advisor) Regulations, 2013)

# **Details of the Investment Advisor**

Name of Investment Advisor	:	PRP Professional Edge Associates Pvt Ltd.
SEBI Registration Number	:	INA100014578 (Dated: Mar 12, 2020)
BASL Membership ID	:	BASL1588 (Dated: Dec 07, 2021)
Registered Office Address	:	508, 5th Floor, Eros City Square, Rosewood City, Sector - 49-50, Gurugram - 122018, Haryana
Phone No(s)	:	0124-4249000
Grievance E-mail address	:	investor_grievance@prpedge.com
Website	:	www.prpedge.com

### A. <u>INVESTOR TYPE</u>

- Existing Client- Individual
- Existing Client- Non-Individual
- Others: \_\_\_\_\_

### B. <u>PERSONAL DETAILS</u>

Name of the person	:	
PAN	:	
Address	:	
Mobile/Landline No.	:	
E-mail address	:	

Page **1** of **3** 



### C. STATE YOUR GRIEVANCE

I, the complainant, do hereby acknowledge that all the information provided in this complaint form are true to my knowledge, belief and understanding and no part of it, intentionally or otherwise, has been concealed and/or misrepresented thereof.

Signature & Date

#### (1) Investor Services:

(i) The details of the investor relation officer who shall attend to the investor queries and complaints are mentioned here below:

Name of the person	Sanjeev Kumar Gupta	
Designation	Compliance Officer	
Address	508, 5th Floor, Eros City Square, Rosewood City, Sector - 49-50, Gurugram - 122018, Haryana	
Email	sanjeev.gupta@prpedge.com	
Investor Grievance Email ID	investor_grievance@prpedge.com	
Telephone	0124-4249000	

The official mentioned above will ensure prompt investor services. The Investment Advisor will ensure that this official is vested with the necessary authority, independence, and the means to handle investor complaints.

(ii) Grievance's redressal and Dispute settlement mechanism.

Grievances, if any, that may arise pursuant to the Investment Advisory Services Agreement entered shall as far as possible be redressed through the administrative mechanism by the Investment Advisor and are subject to SEBI (Investment Advisor)



Regulations 2020 and any amendments made thereto from time to time. However, all the legal actions and proceedings are subject to the jurisdiction of the court in Haryana only and are governed by Indian laws.

The Investment Advisor will endeavor to address all complaints regarding service deficiencies or causes for grievance, for whatever reason, in a reasonable manner and time. If the Investor remains dissatisfied with the remedies offered or the stand taken by the Investment Advisor, the investor, and the Investment Advisor shall abide by the following mechanisms: -

All disputes, differences, claims and questions whatsoever arising between the Client and the Investment Advisor and/or their respective representatives shall be settled in accordance with the provision of The Arbitration and Conciliation Act, 1996 or any statutory requirement, modification, or re-enactment thereof for the time being in force. Such arbitration proceedings shall be held at Haryana or such other place as the Investment Advisor thinks fit.

Alternatively, with effect from September 2011, SEBI has launched a new web-based centralized grievance system called SCORES i.e., SEBI Complaints Redressal System, for online filing, forwarding, and tracking of resolution of investor complaints. The Client may also make use of the SCORES facility for any escalations on redressal of their grievances. Following is the link to visit the website and inform their dispute/complaints against the company.

https://scores.gov.in/scores/complaintRegister.html

SEBI vide press release PR No. 80/2012 dated 30th August' 2012 has extended its toll-free helpline service for Investors (1800 22 7575 / 1800 266 7575) to Saturday and Sunday from the existing Monday to Friday. The service on Saturday and Sunday would be available initially to investors from all over India in English, Hindi, Marathi, and Gujarati from 9:30 a.m. to 5:30 p.m. For any queries/ feedback or assistance, the Client may also e-mail to <u>sebi@sebi.gov.in</u>.

You can also lodge your grievances/complaints with SEBI at https://scores.gov.in

Additionally, you may also download the SEBI SCORES app from <u>Play Store</u> and <u>App Store</u> \*\*\*\*\*\*\*\*